

Michael Corbett

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Herbert J. Sims Capital Management, Inc.

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This Brochure Supplement provides information about *Michael Corbett* that supplements the Herbert J. Sims Capital Management, Inc. Firm Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at (800) 527-6425 if you did not receive Herbert J. Sims Capital Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about *Michael Corbett* is available on the SEC's website at www.adviserinfo.sec.gov.

Michael Corbett

Item 2- Educational Background and Business Experience

Year of birth: 1973

Formal education after high school: Graduated from the University of Minnesota with a Bachelor of Science from the College of Education in 1996.

Business background:

Herbert J. Sims Capital Management	Investment Advisor	2/2019 – Present
Herbert J. Sims	Registered Rep	2/2019 - Present
Associated Investment Services Inc.	Financial Consultant	12/2008 – 2/2019

Michael Corbett has taken additional exams to become a Certified Financial Planner([CFP®](#)) and a Certified Retirement Counselor ([CRC®](#)).

Professional Designations:

Certified Financial Planner ([CFP®](#))

CFPs are trained to provide comprehensive financial planning services and help clients navigate various aspects of their financial lives, including investments, retirement planning, tax strategies, and estate planning. They must complete a CFP Board-registered education program covering financial planning topics.

The CFP® certification exam tests knowledge and competency in financial planning. CFPs must have a minimum of three years of relevant financial planning experience and they must adhere to a strict code of ethics and standards of conduct, ensuring they act in their clients' best interests at all times. They must maintain their certification active and complete continuing education requirements.

Certified Retirement Counselor ([CRC®](#))

The Certified Retirement Counselor (CRC®) designation is a professional credential that enhances a financial advisor's understanding of retirement planning and income distribution principles. To become a CRC, candidates must have a bachelor's degree or equivalent and a minimum of two years of relevant professional experience within the past five years or have a high school diploma or equivalent and five years of relevant professional experience within the past seven years. The CRC certification is independently accredited by the National Commission for Certifying Agencies (NCCA). CRCs must complete 15 credit hours annually, including at least two hours of ethics every two years.

Item 3- Disciplinary Information

No information or events have occurred that are applicable to this Item. Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Item 4- Other Business Activities

Michael Corbett is a registered representative and employee with Herbert J. Sims & Co., Inc. (HJS), which is a securities broker-dealer registered with Financial Industry Regulatory Authority (FINRA). In his capacity as a registered representative Michael Corbett may recommend securities products and advisory services for compensation. This could present a conflict of interest to the extent Michael Corbett could receive fees and/or commissions and other forms of compensation that may vary from product to product (including 12b-1 trails from mutual fund products) if the client chooses to implement recommendations made while acting in his capacity as a registered representative of HJS.

The details of HJSCMs' advisory accounts and services are fully disclosed in HJSCM's Form ADV. Michael Corbett spends approximately 20% of his time on such duties. Therefore, the primary business of Michael Corbett is providing brokerage services through HJS. The client is under no obligation to purchase products or services Michael Corbett recommends, nor are you obligated to purchase them through him.

Michael Corbett as a registered representative may have incentive to recommend certain products rather than others, based on compensation received. This potential conflict of interest is addressed by HJS through communications of disclosure to clients and supervision and training of advisory representatives.

Michael Corbett is a licensed insurance agent authorized to sell insurance products of various insurance companies. This could present a potential conflict of interest as he could receive commissions for selling these products. The client is under no obligation to purchase products Michael Corbett may recommend, nor are you obligated to purchase those products through him. Approximately 15% of his time is spent on insurance products.

Item 5- Additional Compensation

NONE

Item 6 – Supervision

Michael Corbett conduct and activities with the public are supervised by a designated supervisory manager of HJS at or through the local branch office location, regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring and the review of written materials and/or other appropriate practices. Additionally ongoing advisory account reviews occur with each client, monthly, quarterly, or at least annually.

Supervisor's Name and Title: John Koene, Private Wealth Management Group Co-Head,
Managing Director

Supervisor's Telephone Number: 732-590-0533

Item 7- Requirements for State-Registered Advisers

In addition to the information and events listed above, additional disclosure is required by state securities authorities.

Michael Corbett has not been found liable or involved in an arbitration claim or a civil, self-regulatory, or administrative proceeding involving any of the following: investments or investment advisory related; fraud, false statement or omission; theft, embezzlement or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Michael Corbett has not been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Additionally, Michael Corbett has not been the subject of a bankruptcy petition.