

Elizabeth Teifer

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This Brochure Supplement provides information about *Elizabeth Teifer* that supplements the Herbert J. Sims Capital Management, Inc. Firm Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at (800) 527-6425 if you did not receive Herbert J. Sims Capital Management's Brochure or if you have any questions about the contents of this supplement.

Additional information about *Elizabeth Teifer* is available on the SEC's website at www.adviserinfo.sec.gov.

Elizabeth Teifer

Item 2- Educational Background and Business Experience

Year of birth: 1959

Formal education after high school: Southern Illinois University, BS Accounting

Business background: Elizabeth joined the financial services industry 12 years ago, specifically to assist individuals in managing their financial assets to meet their goals. The principles of risk and asset management were paramount in her previous business experience of nearly 25 years of Product Development and Strategic Planning in the manufacturing sector.

Item 3- Disciplinary Information

No information or events have occurred that are applicable to this Item. Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Item 4- Other Business Activities

Elizabeth Teifer is a registered representative and employee with Herbert J. Sims & Co., Inc. (HJS), which is a securities broker-dealer registered with Financial Industry Regulatory Authority (FINRA) and an introducing broker/dealer, registered with the National Futures Association (NFA). In her capacity as a registered representative Elizabeth Teifer may recommend securities products and advisory services for compensation. There could present a conflict of interest to the extent Elizabeth Teifer could receive fees and/or commissions and other forms of compensation that may vary from product to product (including 12b-1 trails from mutual fund products) if the client chooses to implement recommendations made while acting in her capacity as a registered representative of HJS.

The details of HJSCMs' advisory accounts and services are fully disclosed in HJSCM's Form ADV. Elizabeth Teifer spends approximately 15% of her time on such duties. Elizabeth Teifer also provides brokerage services through HJS. The client is under no obligation to purchase products or services Elizabeth Teifer recommends.

Elizabeth Teifer as a registered representative may have incentive to recommend certain products rather than others, based on compensation received. The potential conflict of interest is addressed by HJS through communications of disclosure to clients and supervision and training of advisory representatives. Elizabeth Teifer is also a licensed

insurance agent authorized to sell insurance products of various insurance companies. There could present a potential conflict of interest as she could receive commissions for selling these products. The client is under no obligation to purchase products Elizabeth Teifer may recommend, nor are you obligated to purchase those products through her. Approximately 10% of her time is spent on insurance products.

Item 5- Additional Compensation

Elizabeth Teifer is subject to policies that prohibit the acceptance of gifts and entertainment that may influence the nature of the investment advice provided to clients. Consistent with these policies, Elizabeth Teifer is permitted to receive gifts of up to \$100.

Additionally, Elizabeth Teifer is subject to disclosing if she receives bonuses based on the number or amount of sales, client referrals or new accounts. Currently no such bonuses occur based on such activities or events.

Item 6 - Supervision

Elizabeth Teifer conduct and activities with the public are supervised by a designated supervisory manager of HJS at or through the local branch office location, regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring and the review of written materials and/or other appropriate practices. Additionally ongoing advisory account reviews occur with each client, monthly, quarterly, or at least annually.

Supervisor's Name and Title: John Koene, Private Wealth Management Group Co-Head,
Managing Director

Supervisor's Telephone Number: 732-590-0533

Item 7- Requirements for State-Registered Advisers

In addition to the information and events listed above, additional disclosure is required by state securities authorities.

Elizabeth Teifer has not been found liable or involved in an arbitration claim or a civil, self-regulatory, or administrative proceeding involving any of the following: investments or investment advisory related; fraud, false statement or omission; theft, embezzlement or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Elizabeth Teifer has not been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-

related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Additionally, Elizabeth Teifer has not been the subject of a bankruptcy petition.