

Stacy Goldsmith

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This Brochure Supplement provides information about *Stacy Goldsmith* that supplements the Herbert J. Sims Capital Management, Inc. Firm Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at (800) 527-6425 if you did not receive Herbert J. Sims Capital Management's Brochure or if you have any questions about the contents of their supplement.

Additional information about *Stacy Goldsmith* is available on the SEC's website at www.adviserinfo.sec.gov.

Stacy Goldsmith

Item 2- Educational Background and Business Experience

Year of birth: 1961

Formal education after high school: Graduated from Rutgers College, Rutgers University, 1983

Business background: Stacy Goldsmith has been in the financial services industry since 1983. And currently has been employed as a Senior Vice President with HJ Sims & Co., since 2012.

Item 3- Disciplinary Information

No information or events have occurred that are applicable to this Item. Registered investment advisors are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Item 4- Other Business Activities

Stacy Goldsmith is a registered representative and employee with Herbert J. Sims & Co., Inc. (HJS), which is a securities broker-dealer registered with Financial Industry Regulatory Authority (FINRA) and an introducing broker/dealer, registered with the National Futures Association (NFA). In her capacity as a registered representative Stacy Goldsmith may recommend securities products and advisory services for compensation. There could present a conflict of interest to the extent Stacy Goldsmith could receive fees and/or commissions and other forms of compensation that may vary from product to product (including 12b-1 trails from mutual fund products) if the client chooses to implement recommendations made while acting in her capacity as a registered representative of HJS.

The details of HJSCMs' advisory accounts and services are fully disclosed in HJSCM's Form ADV. Stacy Goldsmith spends approximately 3% of her time on such duties. Therefore, the primary business of Stacy Goldsmith is providing brokerage services through HJS. The client is under no obligation to purchase products or services Stacy Goldsmith recommends, nor are you obligated to purchase them through her.

Stacy Goldsmith as a registered representative may have incentive to recommend certain products rather than others, based on compensation received. The potential conflict of interest is addressed by HJS through communications of disclosure to clients and supervision and training of advisory representatives. Stacy Goldsmith is also a

licensed insurance agent authorized to sell insurance products of various insurance companies. There could present a potential conflict of interest as she could receive commissions for selling these products. The client is under no obligation to purchase products Stacy Goldsmith may recommend, nor are you obligated to purchase those products through her. Approximately 2% of her time is spent on insurance products.

Item 5- Additional Compensation

Stacy Goldsmith is subject to policies that prohibit the acceptance of gifts and entertainment that may influence the nature of the investment advice provided to clients. Consistent with these policies, Stacy Goldsmith is permitted to receive gifts of up to \$100.

Additionally, Stacy Goldsmith is subject to disclosing if she receives bonuses based on the number or amount of sales, client referrals or new accounts. Currently no such bonuses occur based on such activities or events.

Item 6 - Supervision

Stacy Goldsmith conduct and activities with the public are supervised by a designated supervisory manager of HJS at or through the local branch office location, regional office and/or the Home Office. This supervision takes place through personal observation, electronic monitoring and the review of written materials and/or other appropriate practices. Additionally ongoing advisory account reviews occur with each client, monthly, quarterly, or at least annually.

Supervisor's Name and Title: John Koene, Private Wealth Management Group Co-Head,
Managing Director

Supervisor's Telephone Number: 732-590-0533

Item 7- Requirements for State-Registered Advisers

In addition to the information and events listed above, additional disclosure is required by state securities authorities.

Stacy Goldsmith has not been found liable or involved in an arbitration claim or a civil, self-regulatory, or administrative proceeding involving any of the following: investments or investment advisory related; fraud, false statement or omission; theft, embezzlement or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.

Stacy Goldsmith has not been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Additionally, Stacy Goldsmith has not been the subject of a bankruptcy petition.