# **Workplace Culture**

As a full-service financial services firm delivering innovative solutions for all clients, HJ Sims promotes a healthy, positive and supportive workplace.

#### **Our Mission**

Our mission remains the same as it was in 1935: to listen carefully, deliver tailored advice, and treat our clients and employees like family.

Guided by integrity since our founding, HJ Sims is driven by loyalty and teamwork to provide our clients with valuable guidance and creative financial solutions that enrich their lives, their organizations, and the communities they serve.

Through our execution of innovative financings, and investment and trading strategies, we position our employees and clients for growth and greater success.

## **The HJ Sims Workplace**

- → Provides employees with the knowledge and tools to accomplish their objectives
- → Fosters an inclusive workplace where teamwork and cooperation are emphasized
- → Encourages individual growth and development, and meaningful participation in company programs and activities
- → Is an exciting, dynamic, rewarding and caring place to work

## **Quality of Work-life**

HJ Sims strives to be a stimulating, fulfilling workplace. This includes providing educational and training opportunities, compassionate benefit programs, and professional growth and development.

Our goal is to provide our employees with the necessary tools to perform their jobs in a meaningful way, including:

- → START (Sims Technical and Regulatory Training)
- → Compassionate health & wellness benefits
- → Educational & training opportunities
- → Professional growth & development
- → Corporate Social Responsibility program
- → Employee recognition program
- → Zenefits online pay and benefit system
- → Pet insurance

#### **Communications**

HJ Sims fosters an environment in which our staff understands the direction of the company and have the opportunity to contribute to meeting the shared goals and objectives of our organization. Our communication program enables all employees to actively participate in the completion of strategic programs, including:

- → Corporate intranet
- → Quarterly firm-wide "town halls"
- → Staff surveys
- → Independent environment with Broker Dealer structure and support v1 6/8/2020



Our custodian, Pershing LLC, is a global firm whose core strength is providing the foremost measure of protection for client assets. Member FINRA, SIPC