

Technology & Platforms

HJ Sims provides employees with the tools and resources they need to position their clients for growth and greater success.

Technology Support

We continually monitor and analyze our suite of technology systems required to meet the needs of our clients, allowing our financial professionals to perform their jobs as productively as possible.

This includes:

- Mobile workstations available with a small annual cost share
- Standard workstation is a business desktop with dual 24" monitors
- Software stack includes Microsoft Office Professional & NetX360
- Mobility access (NetX and email) is supported on a "bring your own device" policy
- Account balancing and monitoring resources
- Asset management platform with client relationship management systems
- Business intelligence reporting tools

Trading Platforms & Support

Internal trade desk and team, as well as access to a range of tools, including:

- Order entry provided by TradeWeb
- Production reporting provided by The Commissioner
- Access to Bloomberg terminals
- Access to S&P credit reports
- HJ Sims custom fixed-income portfolio performance reporting available for all accounts custodied at BNY Mellon | Pershing, LLC
- On-shore helpdesk

Wealth Management Program

Wealth Management Platform:

- Best-in-class, open architecture
- Partnered with Axxcess Wealth Management to deliver state-of-the-art managed money platform
- Comprehensive selection of Money Managers
- Proprietary ETFs and asset allocation models
- Electronic paperwork
- Dynamic CRM tool

In-office, best-in-class account and managed account platforms:

- Wealth management product specialist
- Private client trading desk and direct trading support
- Municipal research
- Research and analysis team
- Insurance support team
- Comprehensive risk survey and proposal tool
- Stress testing and portfolio comparison programs
- Thorough reporting options via Orion
- Portfolio simulations using current trends
- Client and prospective client sites

Providing you with efficient tools that allow you to focus on your client needs



Our custodian, Pershing LLC, is a global firm whose core strength is providing the foremost measure of protection for client assets. Member FINRA, SIPC

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